

# ADDENDA

ASHRAE Addendum a to ASHRAE Guideline 36-2018

# High-Performance Sequences of Operation for HVAC Systems

Approved by ASHRAE on August 16, 2019.

This addendum was approved by a Standing Guideline Project Committee (SGPC) for which the Standards Committee has established a documented program for regular publication of addenda or revisions, including procedures for timely, documented, consensus action on requests for change to any part of the guideline. Instructions for how to submit a change can be found on the ASHRAE website (https://www.ashrae.org/continuous-maintenance).

The latest edition of an ASHRAE Standard may be purchased on the ASHRAE website (www.ashrae.org) or from ASHRAE Customer Service, 1791 Tullie Circle, NE, Atlanta, GA 30329-2305. E-mail: orders@ashrae.org. Fax: 678-539-2129. Telephone: 404-636-8400 (worldwide), or toll free 1-800-527-4723 (for orders in US and Canada). For reprint permission, go to www.ashrae.org/permissions.

© 2019 ASHRAE ISSN 1049-894X

## ASHRAE Guideline Project Committee 36 Cognizant TC: 1.4, Control Theory and Application SPLS Liaison: Christian Taber

Mark M. Hydeman\*, Chair James J. Coogan\* Gwenlen Paliaga\* Michael A. Pouchak\* Srinivas Katipamula\*, Vice-Chair Brent R. Eubanks\* James C. Bradburn\*, Secretary Michael Galler\* Paul Raftery\* Christopher R. Amundson\* Nathan Hampton\* John J. Santos\* Jeffrey G. Boldt\* River Nicholas Hume\* Steven T. Taylor\* Ronald Bristol\* Eric Koeppel\* Levi Tully\* Anthony Bruno\* Kevin Li\* Xiaohui Zhou\* Cynthia A. Callaway\* Kevin Ng\* Gregory Cmar\* Jeremy J. Ouellette\*

#### ASHRAE STANDARDS COMMITTEE 2019-2020

Wayne H. Stoppelmoor, Jr., Chair	Susanna S. Hanson	Lawrence J. Schoen
Drury B. Crawley, Vice-Chair	Rick M. Heiden	Steven C. Sill
Els Baert	Jonathan Humble	Richard T. Swierczyna
Charles S. Barnaby	Srinivas Katipamula	Christian R. Taber
Niels Bidstrup	Essam E. Khalil	Russell C. Tharp
Robert B. Burkhead	Kwang Woo Kim	Adrienne G. Thomle
Thomas E. Cappellin	Larry Kouma	Michael W. Woodford
Douglas D. Fick	Cesar L. Lim	Craig P. Wray
Michael W. Gallagher	Karl L. Peterman	Jaap Hogeling, BOD ExO
Walter T. Grondzik	Erick A. Phelps	Malcolm D. Knight, CO

Steven C. Ferguson, Senior Manager of Standards

### **SPECIAL NOTE**

This Guideline was developed under the auspices of ASHRAE. ASHRAE Guidelines are developed under a review process, identifying a Guideline for the design, testing, application, or evaluation of a specific product, concept, or practice. As a Guideline it is not definitive but encompasses areas where there may be a variety of approaches, none of which must be precisely correct. ASHRAE Guidelines are written to assist professionals in the area of concern and expertise of ASHRAE's Technical Committees and Task Groups.

ASHRAE Guidelines are prepared by Project Committees appointed specifically for the purpose of writing Guidelines. The Project Committee Chair and Vice-Chair must be members of ASHRAE; while other committee members may or may not be ASHRAE members, all must be technically qualified in the subject area of the Guideline.

Development of ASHRAE Guidelines follows procedures similar to those for ASHRAE Standards except that (a) committee balance is desired but not required, (b) an effort is made to achieve consensus but consensus is not required, (c) Guidelines are not appealable, and (d) Guidelines are not submitted to ANSI for approval.

The Senior Manager of Standards of ASHRAE should be contacted for

- a. interpretation of the contents of this Guideline,
- b. participation in the next review of the Guideline,
- c. offering constructive criticism for improving the Guideline, or
- d. permission to reprint portions of the Guideline.

### DISCLAIMER

ASHRAE uses its best efforts to promulgate Standards and Guidelines for the benefit of the public in light of available information and accepted industry practices. However, ASHRAE does not guarantee, certify, or assure the safety or performance of any products, components, or systems tested, installed, or operated in accordance with ASHRAE's Standards or Guidelines or that any tests conducted under its Standards or Guidelines will be nonhazardous or free from risk.

### ASHRAE INDUSTRIAL ADVERTISING POLICY ON STANDARDS

ASHRAE Standards and Guidelines are established to assist industry and the public by offering a uniform method of testing for rating purposes, by suggesting safe practices in designing and installing equipment, by providing proper definitions of this equipment, and by providing other information that may serve to guide the industry. The creation of ASHRAE Standards and Guidelines is determined by the need for them, and conformance to them is completely voluntary.

In referring to this Standard or Guideline and in marking of equipment and in advertising, no claim shall be made, either stated or implied, that the product has been approved by ASHRAE.

<sup>\*</sup> Denotes members of voting status when the document was approved for publication

(This foreword is not part of this standard. It is merely informative and does not contain requirements necessary for conformance to the standard. It has not been processed according to the ANSI requirements for a standard and may contain material that has not been subject to public review or a consensus process. Unresolved objectors on informative material are not offered the right to appeal at ASHRAE or ANSI.)

### **FOREWORD**

Guideline 36 includes Section 5.1.16, "VAV Box Controllable Minimum," under Section 5, "Sequences of Operation." This addendum addresses the following issues:

- a. The section is not actually a control sequence—it would not be programmed into a controller. Rather it contains instructions for how to calculate the controllable minimum.
- b. The section includes two options for the controllable minimum. The first option includes this caveat:

VAV box controllers that stop moving the damper when they are unable to read an airflow signal may avoid the need to determine a minimum. When given a setpoint below controllable minimum, the controller will control as low as it can, which is the desired behavior. This assumes that duct static pressure will not decrease after this damper stop occurs, so this Option is not always a reliable approach to maintaining minimum airflow. Option 2 is more fool-proof and recommended for most applications.

It acknowledges this is not a reliable way to maintain minimum airflow.

- c. The second option requires the following information:
  - 1. The lowest controllable velocity pressure signal of the controller
  - 2. The amplification factor of the VAV box flow probe

This information is not generally known to the designer, in part because the manufacturer of the controller and VAV box are often determined during the construction phase, well after the design phase, and because the controllable minimum velocity pressure is not always published.

To address these issues, this addendum proposes the following:

- a. Move this section out of Section 5 to a new section under Section 3 and assign the task of determining the controllable minimums to the control contractor who is best equipped to know the required information needed to determine the controllable minimum.
- b. Delete Option 1 because it is not reliable.
- c. Improve the explanation of how to determine the minimum controllable velocity pressure using multiple sources, including an informative reference to ASHRAE Standard 195, which is a method of test for this value. (Standard 195 is currently under revision so that it better aligns with the controllable minimum equations in Guideline 36, but the standard is still useful in its current form.)

d. The calculation of inlet VAV box area is deleted because it assumed a round inlet, and not all VAV box inlets are round. The area data can be readily calculated by standard formulas.

**Note:** In this addendum, changes to the current standard are indicated in the text by <u>underlining</u> (for additions) and <u>strikethrough</u> (for deletions) unless the instructions specifically mention some other means of indicating the changes.

### Addendum a to Guideline 36-2018

Create a new Section 3.3 and move Section 5.1.16 to this new section with edits shown.

### 3.3 Information Determined by Control Contractor 3.3.15.1.16 VAV Box Controllable Minimum

**5.1.16.1**—This section is used to determine the lowest possible VAV box airflow setpoint (other than zero) allowed by the controls (Vm) used in VAV box control sequences. The minimums shall be stored as software points.

**5.1.16.2 Option 1.** If the VAV box controls simply stop moving the damper when the airflow reading becomes too low to register and then re enables the damper when the airflow reading rises above that threshold, Vm shall be equal to zero.

VAV box controllers that stop moving the damper when they are unable to read an airflow signal may avoid the need to determine a minimum. When given a setpoint below controllable minimum, the controller will control as low as it can, which is the desired behavior. This assumes that duct static pressure will not decrease after this damper stop occurs, so this Option is not always a reliable approach to maintaining minimum airflow. Option 2 is more fool proof and recommended for most applications.

### **5.1.16.3 Option 2.** The minimum setpoint Vm shall be determined as follows:

a. First, dDetermine the velocity pressure sensor reading VPm in Pa (in. of water) that will give a reliable flow indication using product literature from the manufacturer of the VAV box controller. If this information is not provided byavailable from the sensor-controller manufacturer, determine the velocity pressure that will result in a digital reading from the transducer and A/D converter of 12 bits or counts (assuming a 10 bit A/D converter). This is considered sufficient resolution for stable controlassume 1% of the velocity pressure sensor's differential pressure range.

<u>See also ASHRAE Standard 195</u>, Method of Test for Rating Air Terminal Unit Control, for guidance on determining the <u>lowest controllable minimum velocity pressure</u>.

- <u>b.</u> Next, determine minimum setpoint Vm using either of the following:
  - 1. Option 1. Determine the minimum velocity vm for each VAV box size and model. If the VAV box manufacturer provides an amplification factor F for the flow pickup, calculate the minimum velocity vm as

$$vm = 1.28 \sqrt{\frac{VPm}{F}}$$
 (SI)

$$vm = 4005 \sqrt{\frac{VPm}{F}}$$
 (I-P)

Where F is not known, in I-P units it can be calculated from the measured airflow at 1 in. of water signal from the VP sensor

$$F = \left(\frac{4005 A}{CFM_{@1 \text{ in. of water}}}\right)^2$$

where A is the nominal inlet duct area (ft<sup>2</sup>), equal to

$$A = \pi \left(\frac{D}{24}\right)^2$$

### where D is the nominal duct diameter (in.).

Calculate the minimum airflow setpoint allowed by the controls (Vm) for each VAV box size as

$$Vm = vmA$$

c. Option 2. Use airflow vs. signal pressure data published by the manufacturer of the VAV box velocity pressure probe. Select a pair of values of airflow and velocity pressure signal as the rated operating point for the calculation, Vrated and VPrated. Use these values and the minimum controllable signal pressure to calculate the minimum controllable flow as follows:

$$Vm = Vrated \sqrt{\frac{VPm}{VPrated}}$$

### POLICY STATEMENT DEFINING ASHRAE'S CONCERN FOR THE ENVIRONMENTAL IMPACT OF ITS ACTIVITIES

ASHRAE is concerned with the impact of its members' activities on both the indoor and outdoor environment. ASHRAE's members will strive to minimize any possible deleterious effect on the indoor and outdoor environment of the systems and components in their responsibility while maximizing the beneficial effects these systems provide, consistent with accepted Standards and the practical state of the art.

ASHRAE's short-range goal is to ensure that the systems and components within its scope do not impact the indoor and outdoor environment to a greater extent than specified by the Standards and Guidelines as established by itself and other responsible bodies.

As an ongoing goal, ASHRAE will, through its Standards Committee and extensive Technical Committee structure, continue to generate up-to-date Standards and Guidelines where appropriate and adopt, recommend, and promote those new and revised Standards developed by other responsible organizations.

Through its *Handbook*, appropriate chapters will contain up-to-date Standards and design considerations as the material is systematically revised.

ASHRAE will take the lead with respect to dissemination of environmental information of its primary interest and will seek out and disseminate information from other responsible organizations that is pertinent, as guides to updating Standards and Guidelines.

The effects of the design and selection of equipment and systems will be considered within the scope of the system's intended use and expected misuse. The disposal of hazardous materials, if any, will also be considered.

ASHRAE's primary concern for environmental impact will be at the site where equipment within ASHRAE's scope operates. However, energy source selection and the possible environmental impact due to the energy source and energy transportation will be considered where possible. Recommendations concerning energy source selection should be made by its members.

### ASHRAE · 1791 Tullie Circle NE · Atlanta, GA 30329 · www.ashrae.org

### **About ASHRAE**

Founded in 1894, ASHRAE is a global professional society committed to serve humanity by advancing the arts and sciences of heating, ventilation, air conditioning, refrigeration, and their allied fields.

As an industry leader in research, standards writing, publishing, certification, and continuing education, ASHRAE and its members are dedicated to promoting a healthy and sustainable built environment for all, through strategic partnerships with organizations in the HVAC&R community and across related industries.

To stay current with this and other ASHRAE Standards and Guidelines, visit www.ashrae.org/standards, and connect on LinkedIn, Facebook, Twitter, and YouTube.

### Visit the ASHRAE Bookstore

ASHRAE offers its Standards and Guidelines in print, as immediately downloadable PDFs, and via ASHRAE Digital Collections, which provides online access with automatic updates as well as historical versions of publications. Selected Standards and Guidelines are also offered in redline versions that indicate the changes made between the active Standard or Guideline and its previous edition. For more information, visit the Standards and Guidelines section of the ASHRAE Bookstore at www.ashrae.org/bookstore.

### IMPORTANT NOTICES ABOUT THIS GUIDELINE

To ensure that you have all of the approved addenda, errata, and interpretations for this Guideline, visit www.ashrae.org/standards to download them free of charge.

Addenda, errata, and interpretations for ASHRAE Standards and Guidelines are no longer distributed with copies of the Standards and Guidelines. ASHRAE provides these addenda, errata, and interpretations only in electronic form to promote more sustainable use of resources.